

**Cotswolds Conservation Board
Review of Governance Framework 2022/23**

a) Documents and Processes	b) Evaluation	c) Issues/Recommendations (Governance Action Plan)
1 Countryside and Rights of Way Act 2000 (as amended by the NERC Act 2006).	Sets out legal status of the Board on and since its establishment in 2004. National Review of National Parks and AONBs reported in September 2019. Interim Government response published January 2022 and awaiting full response.	Continue to monitor arrangements and explore opportunities as they arise.
2 Other Legislation including: Establishment Order 2004/1777; Wiltshire (Structural Change) Order 2008/490; Cotswolds AONB (Establishment of Conservation Board) (Amendment) Order 2009/1579; Local Audit and Accountability Act 2014; Accounts and Audit Regulations 2015.	Sets out the legal and corporate structure of Board. The Accounts and Audit Regulations 2015 contain provisions on internal control and detailed rules about the preparation, approval and publication of the annual statement of accounts, and about the arrangements for local electors and other interested persons to exercise their rights of inspection, objection and to question the local auditor. The Regulations are required in order to implement the local audit arrangements set out in the Local Audit and Accountability Act 2014.	Continue to monitor guidance and its effect on the structure of the Board; and on the approval and publication of the annual Accounting Statements.
3 Constitution including Financial Regulations.	In place since 2004. Constitution reviewed by Board in June 2019 and March 2020.	Constitution (inc. the Financial Regulations) currently being updated, Finance and Governance Committee will review in August 2023, followed by the Board in October 2023.
4 Management Plan.	Management Plan for 2023-25 was adopted by Board in February 2023.	Preliminary work has started for the next Plan covering the period 2025-30.
5 Business Plan including Medium Term Financial Plan.	3-Year Business Plan reviewed and adopted by the Board. Performance against the Business Plan and Budget is monitored quarterly by the Executive Committee and the Finance and Governance Committee.	Business Plan 2023-26 adopted by the Board in February 2023.
6 Policies and Position Statements.	Policy and position statements on issues affecting the AONB are adopted by the Board from time to time following consultation through Working Groups, Executive Committee and external stakeholders (where appropriate). Policies are subject to a rolling programme of review.	Renewable Energy and Neighbourhood Planning Position Statements updated during 2022/23, ahead of seeking adoption in June 2023.
7 Funding Agreements.	Confirmation has been received from Defra regarding the level of core funding for the year. Natural England 2022/23 funding for the Cotswold Way has been agreed for the year. Most appropriate mechanism for securing funding at present is the Business Plan process, which is used to support bids to Defra and other external grant providers, and annual invoices to Local Authorities.	None.
8 Annual Accounts and Financial Policies	The latest Statement of Accounts was published in June 2022 in accordance with regulatory guidance. The external auditor gave a satisfactory opinion on the accounts and on the Board's corporate governance arrangements. Financial Regulations were last amended in December 2020. There is a rolling programme of review of financial policies by the Finance and Governance Committee, this Committee is to be responsible for the review of these policies and governance arrangements.	The rolling programme of finance policies to be reviewed by the Finance and Governance Committee in 2023/24.
9 Annual Governance Statement.	The Board published a compliant Annual Governance Statement as part of the last Annual Return 2021/22..	None
10 Annual Review.	Proposed content reported to Board annually in June and distributed electronically to funding partners and other interested parties.	None
11 Communications Strategy.	2020-2023 Communications Strategy adopted December 2020.	Communications Lead to consider timings for a review/update.
12 Consultation Strategy.	Consultation strategies are developed for the Management Plan and other key documents, e.g. position statements and climate action.	None.
13 Comments, Compliments and Complaints Policy & Procedure.	Scheme approved by Board in March 2007. Customer Charter developed during 2020/21 and published on the website.	Policy was reviewed and updated in June 2023.

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14 Committee Structure and Procedures.	Set out in Constitution, updated in 2019/20. Committee structure reviewed in 2020/21. Creation of Finance & Governance Committee approved by Board.	Continue operating with the agreed committee structure during 2023/24.
15 Freedom of Information Publication Scheme.	In place.	None
16 Equality Standards.	Equalities and Diversity Policy updated in the new Employee Handbook in consult with Publica HR in December 2020. Appointment application form procedures amended in response to new legislation in 2010.	Wellbeing and Inclusion Working Group has been established and started work in 2021/22. ACAS Equality, Diversity & Inclusion course rolled out to all CNL team members, Board Members, and Voluntary Wardens.
17 Board Website & IT arrangements.	In place. IT Policy adopted by Board in June 2009. Contract with IT supplier market tested and secured. Regular IT asset checks in place. ICT Strategy developed in 2021 and significant improvements made, including to our cyber security.	IT Policies and strategy updated throughout 2022/23. Further work in 2022/23 includes appointing a fully outsourced Managed Service Provider and seeking Cyber Essentials accreditation.
18 Members Allowances Scheme.	Reviewed periodically at Board Meeting/AGM.	Changes reviewed and agreed in June 2022.
19 Officer Job Descriptions, Pay and Conditions.	Job descriptions in place for all posts. Pay and conditions determined by national agreement. Board advised under service level agreement with Publica.	None
20 Recruitment Policies & Procedures.	Set out in Employee Handbook and guided by service level agreement with Publica. Employee Handbook reviewed in 2020/21 with support from Publica.	None
21 Scheme of Delegation.	Set out in Constitution, and any instances of not following the Scheme are logged and reported to the Finance and Governance Committee for review.	None
22 Member/Officer Protocol.	Set out in Constitution.	None
23 Member and Officer Induction and Training.	Members receive introductory letter and detailed briefing pack, and are brought together in small groups (or 1 to 1 if necessary) for detailed background briefing. Officer induction reviewed in 2021 with new starter induction pack introduced, and structured H&S training provision implemented.	Volunteer Policy adopted by the Board in June 2022.
24 Codes of Conduct for Members and Officers.	Codes of Conduct set out in Constitution. Members adopted a revised code in March 2020.	None
25 Officer and Member Indemnity Insurance.	Policy last market tested in 2015/16 with current supplier still remaining favourable. No claims in 2021/22.	Market testing took place in 2022/23 and current provider retained.
26 Performance Management Framework.	Performance is reported against the Board's Business Plan quarterly to the Executive Committee. The Chief Executive monitors performance at team meetings and at 1 to 1 performance reviews with officers.	None
27 Chief Executive.	Experienced officer in post and performance appraised regularly by the Chair and Vice Chair.	None
28 Section 151 Officer (RFO).	Qualified accountant in post since March 2023.	None
29 Monitoring Officer.	Monitoring Officer gave notice to resign from post from September 2023.	CEO and Chair to identify and appoint a new Monitoring Officer.
30 Legal Advice.	Legal firm appointed for advice and guidance as appropriate.	None
31 Decision Making and Recording Procedures.	Board, Executive Committee and Finance & Governance Committee minutes recorded internally and made available on website. Notification of agenda and papers sent to all board members, relevant officers and made publicly available on the website.	None
32 External Audit.	External Audit provided by PKF Littlejohn with effect from 2017/18 under a national contract awarded by government agency.	None
33 Internal Audit.	Internal Audit completed in compliance with JPAG Guidance. Audit findings reported to Finance and Governance Committee.	Tender process for Internal Audit contract to take place during 2023/24.

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34 Risk Management Framework.	Framework in place and key corporate risks regularly reviewed by the Finance and Governance Committee and annually to the Board.	None
35 Procurement Policy.	Procedures in place with regard to procuring of goods and services. Procurement Waivers reported annually.	Procedures to be reviewed as part of the updating of the Board's Constitution.
36 Health & Safety Policy.	H&S Policy first adopted by the Board in Oct 2008. Reviewed annually by the Finance and Governance Committee and adopted by the Board at the June meeting.	Reviewed annually.
37 Environmental Performance Policy.	No specific performance policy in place. Where practical, actions being taken to reduce carbon impact.	New policy to be established, taking guidance from best practice.
38 Register of Interests.	Register held by Monitoring Officer. Formal annual review alongside related party transaction declarations in March each year.	Continue to publish register on web-site.
39 Counter Fraud and Corruption Policy.	Policy reviewed 3-yearly by the Board.	Next review and update due in 2024, led by the Finance and Governance Committee.
40 Confidential Reporting (Whistleblowing) Procedure.	Policy reviewed 3-yearly by the Board.	Next review and update due in 2024, led by the Finance and Governance Committee.
41 Disciplinary and Capability Procedures.	Set out in employee handbook.	None
42 General Data Protection Regulations (GDPR).	Data Protection Officer services now provided by Publica.	DPO appointment requires a review.
43 Related Party Transactions.	Formal annual review alongside register of interests in March each year.	None